

FORM PTO - 1449

SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT

ATTORNEY DOCKET NO.: LEX-023

APPLICANT(S): Gillies *et al.*

SERIAL NO.: 10/737,208

CONF. NO.: 6855

FILING DATE: December 16, 2003 GROUP: 1647

U.S. PATENT DOCUMENTS

EXAM. INIT.	FIGURE NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A114	4,522,811	6/1/85	Eppstein <i>et al.</i>		
	A115	4,667,016	5/19/87	Lai <i>et al.</i>		
	A116	4,732,683	3/22/88	Georgiades <i>et al.</i>		
	A117	4,737,462	4/12/88	Mark <i>et al.</i>		
	A118	4,975,369	12/4/90	Beavers <i>et al.</i>		
	A119	5,082,658	1/21/92	Palladino		
	A120	5,091,513	2/25/92	Huston <i>et al.</i>		
	A121	5,399,346	3/21/95	Anderson <i>et al.</i>		
	A122	5,428,130	6/27/95	Capon <i>et al.</i>		
	A123	5,480,981	1/2/96	Goodwin <i>et al.</i>		
	A124	5,589,466	12/31/96	Felgner <i>et al.</i>		
	A125	5,614,184	3/25/97	Sytkowski <i>et al.</i>		
	A126	5,712,120	1/27/98	Rodriguez <i>et al.</i>		
	A127	5,738,852	4/14/98	Robinson <i>et al.</i>		
	A128	5,795,779	8/18/98	McCormick		
	A129	5,827,703	10/27/98	Debs <i>et al.</i>		
	A130	5,837,821	11/17/98	Wu <i>et al.</i>		
	A131	6,281,010	8/28/01	Gao <i>et al.</i>		
	A132	6,291,158	9/18/01	Winter <i>et al.</i>		
	A133	6,627,615	9/30/03	Debs <i>et al.</i>		

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FOREIGN PATENT DOCUMENTS									
EXAM INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASSES	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
	B108	0 428 267 B1	12/11/96	EP					Y
	B109	0 428 596 B1	4/17/96	EP					Y
	B110	0 433 827 B1	3/4/98	EP					Y
	B111	0 519 596 A1	12/23/92	EP					Y
	B112	0 668 351 B1	9/22/99	EP					Y
	B113	0 699 755 A2	3/6/96	EP					Y
	B114	2 188 638	10/7/87	GB					Y
	B115	WO 92/10755	6/25/92	PCT					Y
	B116	WO 94/25609	11/10/94	PCT					Y
	B117	WO 96/40792	12/19/96	PCT					Y
	B118	WO 00/01822	1/13/00	PCT					Y
	B119	WO 02/074783	9/26/02	PCT					Y

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EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)	
	C262	Aichele <i>et al.</i> , (1994), "Peptide-Induced T-Cell Tolerance to Prevent Autoimmune Diabetes in a Transgenic Mouse Model," <u>Proc. Natl. Acad. Sci. USA</u> , 91:444-448.
	C263	Altschul <i>et al.</i> , (1990), "Basic Local Alignment Search Tool," <u>J. Mol. Biol.</u> , 215:403-10.
	C264	Altschul <i>et al.</i> , (1997), "Gapped BLAST and PSI-BLAST: A New Generation of Protein Database Search Programs," <u>Nucleic Acids Res.</u> , 25(17):3389-3402.
	C265	Anderson <i>et al.</i> , (1980), "Characterization of the Fc Receptor for IgG on a Human Macrophage Cell Line U937," <u>J. Immunol.</u> , 125(6):2735-41.
	C266	Anderson <i>et al.</i> , (1994), "Effects of Route and Formulation on Clinical Pharmacokinetics of Interleukin-2," <u>Clin. Pharmacokinet.</u> , 27(1):19-31.
	C267	Baici <i>et al.</i> , (1980), "Kinetics of the Different Susceptibilities of the Four Human Immunoglobulin G Subclasses to Proteolysis by Human Lysosomal Elastase," <u>Scand. J. Immunol.</u> , 12(1):41-50.
	C268	Barbulescu <i>et al.</i> , (1998), "IL-12 and IL-18 Differentially Regulate the Transcriptional Activity of the Human IFN- γ Promoter in Primary CD4+ T Lymphocytes," <u>J. Immunol.</u> , 160:3642-7.
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	C270	Benacerraf <i>et al.</i> , (1959), "The Clearance of Antigen Antibody Complexes from the Blood by the Reticulo-Endothelial System," <u>J. Immunol.</u> , 82:131-7.
	C271	Böhm, (1994), "On the Use of LUDI to Search the Fine Chemicals Directory for Ligands of Proteins of Known Three-Dimensional Structure," <u>J. Comput. Aided Mol. Des.</u> , 8:623-32.
	C272	Böhm, (1994), "The Development of a Simple Empirical Scoring Function to Estimate the Binding Constant for a Protein-Ligand Complex of Known Three-Dimensional Structure," <u>J. Comput. Aided Mol. Des.</u> , 8(3):243-56.
	C273	Böhm, (1998), "Prediction of Binding Constants of Protein Ligands: A Fast Method for the Prioritization of Hits Obtained from De Novo Design or 3D Database Search Programs," <u>J. Comput. Aided Mol. Des.</u> , 12(4):309-23.
	C274	Boshart <i>et al.</i> , (1985), "A Very Strong Enhancer is Located Upstream of an Immediate Early Gene of Human Cytomegalovirus," <u>Cell</u> , 41:521-530.
	C275	Boulianne <i>et al.</i> , (1984), "Production of Functional Chimaeric Mouse/Human Antibody," <u>Nature</u> , 312:643-6.
	C276	Bourgeois <i>et al.</i> , (1974), "Determination of the Primary Structure of a Mouse IgG2a Immunoglobulin Amino Acid Sequence of the Fc Fragment: Implications for the Evolution of Immunoglobulin Structure and Function," <u>Eur. J. Biochem.</u> , 43:423-35.
	C277	Brambell <i>et al.</i> , (1964), "A Theoretical Model of γ -Globulin Catabolism," <u>Nature</u> , 203:1352-55.
	C278	Brazolot Millan <i>et al.</i> , (1998), "Cpg DNA Can Induce Strong TH1 Humoral and Cell-Mediated Immune Responses against Hepatitis B Surface Antigen in Young Mice," <u>Proc. Natl. Acad. Sci. USA</u> , 95:15553-8.

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	C279	Brekke <i>et al.</i> , (1994), "Human IgG Isotype-Specific Amino Acid Residues Affecting Complement-Mediated Cell Lysis and Phagocytosis," <u>Eur. J. Immunol.</u> , 24:2542-2547.
	C280	Brem <i>et al.</i> , (1993), "The Combination of Antiangiogenic Agents to Inhibit Primary Tumor Growth and Metastasis," <u>J. Pediatr. Surg.</u> , 28(10):1253-7.
	C281	Brocklebank <i>et al.</i> , (2001), "Enumeration of CD34+ Cells in Cord Blood: A Variation on a Single-Platform Flow Cytometric Method Based on the ISHAGE Gating Strategy," <u>Cytometry</u> , 46:254-261
	C282	Brooks <i>et al.</i> , (1983), "CHARMM: A Program for Macromolecular Energy Minimization and Dynamics Calculations," <u>J. Comput. Chemistry</u> , 4:187-217.
	C283	Broudy <i>et al.</i> , (1988), "Recombinant Human Erythropoietin: Purification and Analysis of Carbohydrate Linkage," <u>Arch. Biochem. Biophys.</u> , 265:329-36.
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	C287	Camemolla <i>et al.</i> , (1992), "The Inclusion of the Type III Repeat ED-B in the Fibronectin Molecule Generates Conformational Modifications that Unmask a Cryptic Sequence," <u>J. Biol. Chem.</u> , 267(34):24689-24692.
	C288	Casadevall, <i>et al.</i> , (2002) "Pure Red-Cell Aplasia and Antierythropoietin Antibodies in Patients Treated with Recombinant Erythropoietin," <u>The New Eng. School of Medicine</u> , 346:469-75.
	C289	Cazzola <i>et al.</i> , (1998), "Red Blood Cell Precursor Mass as an Independent Determinant of Serum Erythropoietin Level," <u>Blood</u> , 91:2139-45.
	C290	Chan <i>et al.</i> , (1992), "Mechanisms of IFN- γ Induction by Natural Killer Cell Stimulatory Factor (NKSF/IL-12). Role of Transcription and mRNA Stability in the Synergistic Interaction Between NKSF and IL-2," <u>J. Immunol.</u> , 148:92-98.
	C291	Chappel <i>et al.</i> , (1991), "Identification of the Fc Gamma Receptor Class I Binding Site In Human IgG Through Use of Recombinant IgG1/IgG2 Hybrid and Point-Mutated Antibodies," <u>Proc. Natl. Acad. Sci. USA</u> , 88(20):9036-40.
	C292	Cheetham, (1998), "NMR Structure of Human Erythropoietin and a Comparison with its Receptor Bound Conformation," <u>Nat. Struct. Biol.</u> , 5:861-6.
	C293	Ciardiello <i>et al.</i> , (1996), "Antitumor Activity of Combined Blockade of Epidermal Growth Factor Receptor and Protein Kinase A," <u>J. Natl. Cancer Inst.</u> , 88:1770-6.
	C294	Cirulli <i>et al.</i> , (1998), "KSA Antigen Ep-CAM Mediates Cell-Cell Adhesion of Pancreatic Epithelial Cells: Morphoregulatory Roles in Pancreatic Islet Development," <u>J. Cell Biol.</u> , 140:1519-34.
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	C296	Congote <i>et al.</i> , (1984), "The Erythropoietins, New Erythroid Cell Stimulate Factors Extracted From Human and Bovine Fetal Tissues," Abstract 364, "Proceedings 7 th Intl. Congress of Endocrinology," Quebec City, Quebec, July 1-7, 1984.
	C297	Congote, (1983), "Isolation of Two Biologically Active Peptides, Erythropoietin I and Erythropoietin II from Fetal Calf Intestine," <u>Biochem. Biophys. Res. Commun.</u> , 115(2):477-83.
	C298	Congote, (1984), "Extraction from Fetal Bovine Serum of Erythropoietin, an Erythroid Cell-Stimulating Factor," <u>Anal. Biochem.</u> , 140:428-33.
	C299	Connor <i>et al.</i> , (2004), "Ex vivo Evaluation of Anti-EpCAM Immunocytokine huKS-IL2 in Ovarian Cancer," <u>J. Immunotherapy</u> , 27:211-219.
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	C301	Cunningham <i>et al.</i> , (1989), "High-Resolution Epitope Mapping of hGH-Receptor Interactions by Alanine-Scanning Mutagenesis," <u>Science</u> , 244:1081-85.
	C302	Curiel <i>et al.</i> , (1991), "Adenovirus Enhancement of Transferrin-Polylysine-Mediated Gene Delivery," <u>Proc. Natl. Acad. Sci. USA</u> , 88:8850-4.
	C303	Dauber-Osguthorpe <i>et al.</i> , (1988), "Structure and Energetics of Ligand Binding to Proteins: Escherichia Coli Dihydrofolate Reductase-Trimethoprim, A Drug-Receptor System," <u>Proteins</u> , 4:31-47.
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	C306	Delorme <i>et al.</i> , (1992), "Role of Glycosylation on the Secretion and Biological Activity of Erythropoietin," <u>Biochemistry</u> , 31:9871-6.
	C307	Desai <i>et al.</i> , (1992), "IL-12 Receptor. II. Distribution and Regulation of Receptor Expression," <u>J. Immunol.</u> , 148:3125-32.
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	C310	Donnelly <i>et al.</i> , (1997), "DNA Vaccines," <u>Annu. Rev. Immunol.</u> , 15:617-48.
	C311	Dube <i>et al.</i> , (1988), "Glycosylation at Specific Sites of Erythropoietin is Essential for Biosynthesis, Secretion, and Biological Function," <u>J. Biol. Chem.</u> , 263:17516-21.
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	C313	Faas <i>et al.</i> , (1993), "Phenotypically Diverse Mouse Thymic Stromal Cell Lines which Induce Proliferation and Differentiation Of Hematopoietic Cells," <u>Eur. J. Immunol.</u> , 23:1201-14.
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	C315	Fawell <i>et al.</i> , (1994), "Tat-Mediated Delivery of Heterologous Proteins into Cells," <u>Proc. Natl. Acad. Sci. USA</u> , 91:664-8.
	C316	Fu <i>et al.</i> , (1993), "The Sheep Erythropoietin Gene: Molecular Cloning and Effect of Hemorrhage on Plasma Erythropoietin and Renal/Liver Messenger RNA in Adult Sheep," <u>Mol. Cell. Endocrinol.</u> , 93:107-16.
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	C318	Gammon <i>et al.</i> , (1992), "Endogenous Loading of HLA-A2 Molecules with an Analog of the Influenza Virus Matrix Protein-Derived Peptide and Its Inhibition By An Exogenous Peptide Antagonist," <u>J. Immunol.</u> , 148:7-12.
	C319	Ghetie <i>et al.</i> , (1990), "Disseminated or Localized Growth of a Human B-Cell Tumor (Daudi) in SCID Mice," <u>Intl. J. Cancer</u> , 45:485.
	C320	Ghetie <i>et al.</i> , (1997), "FcRn: The MHC Class I-Related Receptor that is More Than an IgG Transporter," <u>Immunology Today</u> , 18(12):592-598.
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	C322	Goldwasser <i>et al.</i> , (1975), "Erythropoietin: Assay and Study of its Mode of Action," <u>Methods Enzymol.</u> , 37(PtB):109-21.
	C323	Gurewich <i>et al.</i> , (1988), "Characterization of the Intrinsic Fibrinolytic Properties of Pro-Urokinase Through a Study of Plasmin-Resistant Mutant Forms Produced by Site-Specific Mutagenesis of Lysine," <u>J. Clin. Invest.</u> , 82:1956-1962.
	C324	Halin <i>et al.</i> , (2002), "Enhancement of the Antitumor Activity of Interleukin-12 by Targeted Delivery to Neovasculature," <u>Nature Biotechnology</u> , 20:264-269.
	C325	Handgretinger <i>et al.</i> , "Immunological Aspects of Haploidentical Stem Cell Transplantation in Children," <u>Annals New York Academy of Sciences</u> , 340-(Date not known).
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	C328	Hilgers <i>et al.</i> , (1999), "Sulfolipo-Cyclodextrin in Squalane-In-Water as a Novel and Safe Vaccine Adjuvant," <u>Vaccine</u> , 17:219-28.
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	C330	Hulett <i>et al.</i> , (1994), "Molecular Basis of Fc Receptor Function," <u>Adv. Immunol.</u> , 57:1127.
	C331	Huston <i>et al.</i> , (1988), "Protein Engineering of Antibody Binding Sites: Recovery of Specific Activity in an Anti-Digoxin Single-Chain Fv Analogue Produced In Escherichia Coli," <u>Proc. Natl. Acad. Sci. USA</u> , 85:5879-5883.
	C332	Investigator's Brochure 2004, Pages 6-10, 34 and 35.
	C333	Jacobs <i>et al.</i> , (1985), "Isolation and Characterization of Genomic And cDNA Clones of Human Erythropoietin," <u>Nature</u> , 313:806-10.
	C334	Jefferis <i>et al.</i> , (1990), "Molecular Definition of Interaction Sites on Human IgG for Fc Receptors huFcγR," <u>Mol. Immunol.</u> , 27(12):1237-1240.
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	C336	Karlin <i>et al.</i> , (1993), "Applications and Statistics for Multiple High-Scoring Segments in Molecular Sequences," <u>Proc. Natl. Acad. Sci. USA</u> , 90:5873-7.
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	C338	Kelner <i>et al.</i> , (1994), "Lymphotoxin: A Cytokine that Represents a New Class of Chemokine," <u>Science</u> , 266:1395-9.
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	C340	King <i>et al.</i> , (2004), "Phase I Clinical Trial of the Immunocytokine EMD 273063 in Melanoma Patients," <u>J. Clin. Oncol.</u> , 22(22):4463-73.
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	C344	Kurtz, (1982), "A New Candidate for the Regulation of Erythropoiesis. Insulin-Like Growth Factor I," <u>FEBLAL</u> , 149(1):105-8.
	C345	Lai <i>et al.</i> , (1986), "Structural Characterization of Human Erythropoietin," <u>J. Biol. Chem.</u> , 261:3116-21.
	C346	Lai <i>et al.</i> , (1998), "DNA Vaccines," <u>Crit. Rev. Immunol.</u> , 18:449-84.
	C347	Lanza <i>et al.</i> , (1993), "Active Immunity against the CD4 Receptor by Using an Antibody Antigenized with Residues 41-55 of the First Extracellular Domain," <u>Proc. Natl. Acad. Sci. USA</u> , 90:11683-7.
	C348	Lawn <i>et al.</i> , (1981), "DNA Sequence of a Major Human Leukocyte Interferon Gene," <u>Proc. Natl. Acad. Sci. USA</u> , 78:5435-9.

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	C349	Lin <i>et al.</i> , (1985), "Cloning and Expression of the Human Erythropoietin Gene," <u>Proc. Natl. Acad. Sci. USA</u> , 82:7580-4.
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	C351	Lode <i>et al.</i> , (1998), "Gene Therapy with a Single Chain Interleukin 12 Fusion Protein Induces T Cell-Dependent Protective Immunity in a Syngeneic Model of Murine Neuroblastoma," <u>Proc. Natl. Acad. Sci. USA</u> , 95:2475-80.
	C352	Lorenz <i>et al.</i> , (1999), "Induction of Anti-Tumor Immunity Elicited by Tumor Cells Expressing a Murine LFA-3 Analog Via a Recombinant Vaccinia Virus," <u>Hum. Gene Ther.</u> , 10:623-31.
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SERIAL NO.: 10/737,208

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FILING DATE: December 16, 2003 GROUP: 1647

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